



801 Crescent Centre Drive, Suite 600, Franklin, TN 37067

615-861-6100

PRIVACY POLICY

SageSpring Wealth Partners (also referred to as "we", "our" and "us"), is required by law to inform you, and all of our clients, of our policies regarding privacy of client information. Our privacy policies are intended to comply with all applicable legal requirements as well as professional standards of confidentiality that are even more stringent than those required by law.

Pursuant to federal law, you, as our client, have the right to limit some but not all sharing of personal information. It also requires us to tell you how we collect, share, and protect your personal information.

TYPES OF NONPUBLIC PERSONAL INFORMATION WE COLLECT

We collect nonpublic personal information ("NPI") about you that is either provided to us by you or obtained by us with your authorization. This can include but is not limited to your Name, Social Security Number, Address, Phone Number, Date of Birth, Banking Information, Assets and Liabilities, Investment Activity, Financial Account Numbers and/or Balances (including those maintained at other institutions), Sources of Income, Expenses, and Credit Card Numbers or Information. When you are no longer our customer, we may continue to share your information only as described in this notice.

PARTIES TO WHOM WE DISCLOSE INFORMATION

All Investment Advisers may need to share personal information to run their everyday business.

In the section below, we list the reasons that we may share your personal information:

- For everyday business purposes – such as to process your transactions, maintain your account(s), respond to court orders and legal investigations;
- For our marketing – to offer our products and services to you;
- For our affiliates' everyday business purposes – information about your transactions;
- Authorized users – your NPI may be disclosed to you and persons that we believe to be your authorized agent(s) or representative(s).

If you are a new client, we may begin sharing your Information on the day you sign our agreement.

In addition, you are authorizing us and your independent financial advisor to retain your NPI information in the event of an affiliation with a financial institution other than Raymond James in the future.

Additionally, you authorize us, your financial advisor, and Raymond James to share your NPI information with a financial institution other than Raymond James, and you authorize us and your financial advisor to share your NPI information with another financial institution, as the case may be, prior to your advisor leaving Raymond James.

This authorization will remain in effect unless and until revoked or modified by you. This authorization will apply to all accounts on which you are a controlling party.

PROTECTING THE CONFIDENTIALITY OF CLIENT'S INFORMATION

To protect your personal information from unauthorized access and use, we maintain physical, procedural and electronic security measures. These include such safeguards as secure passwords, encrypted file storage, and a secure office environment. Our technology vendors provide security and access control over personal information and have policies over the transmission of data. Our associates are trained on their responsibilities to protect Client's personal information.

FEDERAL LAW GIVES YOU THE RIGHT TO LIMIT SHARING – OPTING OUT

Federal law allows you the right to limit the sharing of your NPI by “opting-out” of the following: sharing for non-affiliates’ everyday business purposes – information about your creditworthiness; or sharing with affiliates or non-affiliates who use your information to market to you. State laws and individual companies may give you additional rights to limit sharing. Please notify us immediately if you choose to opt out of these types of sharing.

STATE SPECIFIC REGULATIONS

In addition to the opt-out rights provided under federal law, the laws of certain states provide residents of those states with additional rights related to the use of their NPI.

Please call if you have any questions. Your privacy, our professional ethics, and the ability to provide you with quality financial services are very important to us.